##### Annual Work Plan

**EFY 2006**

**United Nations Development Programme - Ethiopia**

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| **Project Title: Strengthening DEMOCRATIC GOVERNANCE TO ACCELERATE AND SUSTAIN ETHIOPIA’S TRANSFORMATION** |
| **UNDAF Pillar 3: Governance and Capacity Development****UNDAF Outcomes:*** **UNDAF Outcome 9**: By 2015, national actors have enhanced capacity to promote, protect and enjoy human rights and constitutional rights and accessibility to efficient and accountable justice systems, as enshrined in the Constitution and in line with international and regional instruments, standards and norms.
* **UNDAF Outcome 10**: By 2015, national and sub-national actors utilize improved mechanisms that promote inclusiveness, participation, transparency, accountability and responsiveness in national development processes.

**UNDAF Outputs:*** **Output 9.1** - GoE and stakeholders have strengthened capacity to report, implement and follow-up recommendations from international and regional treaty bodies, councils and processes.
* **Output 9.2** - GoE received support to ratify, domesticate and harmonize international and regional human rights and justice instruments as prioritized by government
* **Output 9.3** - Strengthened capacity of justice sector to provide expanded and better quality legal services, legal information, legal literacy and effective measurement of reforms in the sector.
* **Output 9.4** - Capacity of national human rights machinery strengthened to effectively promote and protect human rights
* **Output 10.1** - Strengthened capacity of institutions and mechanisms for promotion of inclusiveness and participation in decision-making
* **Output 10.2** - Capacities of key governance institutions developed for enhanced accountability, transparency and responsiveness to citizens’ needs.
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| **Expected Project Outputs:*** Strengthened capacities of institutions and mechanisms for promotion of inclusiveness and participation in decision-making.
* Capacities of key governance and justice institutions developed for enhanced accountability, transparency and responsiveness to citizen’s needs.
* Capacity of national human rights machinery strengthened to effectively promote and protect human rights
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| **Implementing Partner: MoFED** **Responsible Parties: EHRC, EIO, FEAC, HOPR, NEBE** |
| **Description of the Programme**This project is a capacity development intervention aimed at consolidating the established positive momentum on building democracy and consolidation in Ethiopia. The strategic orientation of the project is to enhance government responsiveness to sustainable development by strengthening institutions, mechanisms and processes that facilitate and promote transparency, accountability, rule of law/justice, and wider participation. |
| UNDAF Programme Period: 2012 -2015 Key Result Area (Strategic Plan): Dem. GovernanceAtlas Award ID: \_\_\_\_\_\_\_\_Start date: July 2013End Date: June 2014Management Arrangements: NIM | EFY 2006 AWP budget: **USD 3,252,800**Total resources required: \_**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**Total allocated resources: \_\_\_\_\_\_\_\_\_\_\_\_\_Unfunded budget: \_\_\_\_\_\_\_\_\_\_In-kind Contributions: \_\_\_\_\_\_\_\_\_ |

**Agreed by MoFED:** **Date:**

**Agreed by UNDP: Date:**

**Agreed by EHRC:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_**

**Agreed by EIO:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Agreed FEACC:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_ \_\_\_\_\_\_\_\_\_ \_**

**Agreed HoPR:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_ \_\_\_\_Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Agreed by NEBE:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_**

# Annual Work PLAN - EFY 2006

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| **EXPECTED OUTPUTS***And baseline, associated indicators and annual targets* | **PLANNED ACTIVITIES***List activity results and associated actions* | **ETHIOPIA FY****2006 Budget (USD)** | **RESP. PARTY** | **PLANNED BUDGET (USD)** |
| **Q1** | **Q2** | **Q3** | **Q4** | **Funding****Source** | **Budget Description** | **Amount (USD)** |
| **UNDP FY 2013** | **UNDP FY 2014** |
| **Q3** | **Q4** | **Q1** | **Q2** |
| **UNDAF Output 10.1**: **Strengthened capacities of institutions and mechanisms for the promotion of inclusiveness and participation in decision-making.** ***Baseline:***  ***TBD (Data sources will be Terminal Evaluation and Terminal Report of DIP)******Indicators****:* * *Establishment of a functioning consultation fora of political parties*
* *Fully functional mechanisms in place for management of election related violence*
* *Mechanisms and systems in place to strengthen IGR*

***Targets****:* * 100 leaders of political parties (at least 30 of them should be women leaders/members) trained and capacitated on election laws and Code of Conduct of political parties
* 10% increase in the participation of the people particularly women in the political/election process.
* Mechanisms and capacities in place to conduct of peaceful, fair and free election in the next national election
* IGR policy and institutional frameworks established and operational

***Related CP outcome****:* National and sub-national actors utilize improved mechanisms that promote inclusiveness, participation, transparency, accountability and responsiveness in national development processes. | **1.1 *Activity Result****:* **Improve political space and dialogue amongst relevant stakeholders on the electoral process*****Actions:***  |
| 1.1.1 Facilitate political parties consultations/dialogue at federal and regional levels |  | 5, 000 | 10,000 | 10, 000 | NEBE |  |  | 25,000 |
| 1.1.2 Provide training to political parties on electoral law and Code of Conduct |  |  | 10,000 | 20,000 | NEBE |  |  | 30,000 |
| 1.1.3 Conduct integrated civic education programs covering human rights (including rights of women and girls) and ethics and voter education  |  |  | 10,000 | 10,000 | NEBE |  |  | 20,000 |
| 1.1.4 Provide voter education to youth, women and other marginalized groups |  |  | 10,000 | 20,000 | NEBE |  |  | 30,000 |
| 1.1.5 Undertake electoral boundary delimitation |  |  | 25,000 | 50,000 | NEBE |  |  | 75,000 |
| 1.1.6 Support to women caucus on parliamentary oversight of implementation of gender policies and programmes | 10,000 | 10,000 | 10,000 | 10 000 | HoPR |  |  | 40,000 |
| 1.1.7 Support gender mainstreaming in the electoral process |  |  | 15 000 | 10,000 | NEBE |  |  | 25,000 |
| 1.1.8 Establish resource center for Political Parties  |  |  | 20,000 | 20,000 | NEBE |  |  | 40,000 |
| 1.1.9 Provide training to domestic observers to monitor elections |  |  | 10,000 | 10,000 | NEBE |  |  | 20,000 |
| **1.2. *Activity Result*:** **Prevent and manage electoral violence*****Actions:*** |
| 1.2.1 Strengthen the electoral training center  |  | 25,000 | 10,000 | 10,000 | NEBE |  |  | 45,000 |
| 1.2.2 Provide training on early warning and management of electoral violence to relevant stakeholders | 15,000 | 25,000 | 10,000 | 10,000 | NEBE |  |  | 60,000 |
| 1.2.3 Support knowledge management and experience sharing on early warning system and management of electoral violence  | 20,000 | 20,000 | 20,000 | 20,000 | NEBE |  |  | 80,000 |
| **1.3. *Activity Result*: Improved quality of journalistic coverage, specifically in terms of independence and professionalism*****Actions:*** |
| 1.3.1 Provide training to public and private media (including to women journalists) on electoral coverage and monitoring (Code of Conduct) on election reporting |  |  | 20,000 | 30,000 | NEBE |  |  | 50,000 |
| **1.4 *Activity result*:** **Management of diversity strengthened*****Actions;*** |
| 1.4.1 Facilitate and provide technical support to the speakers’ forum (federal and regional) |  | 30000 |  | 30500 | HoPR |  |  | 60,500 |
| 1.4.2 Parliamentary outreach | 10,000 | 10,000 | 10 000 | 10,000 | HoPR |  |  | 40,000 |
| 1.4.3 Organize Democracy forum on International Democracy Day | 10,000 |  |  |  |  |  |  | 10,000 |
| 1.4.4 Undertake Short term Training for MPs on Concept of law |  | 5,000 | 5,000 | 5,000 | HoPR |  |  | 15,000 |
| 1.4.5 Undertake Oversight function/Organizing Committee Based Field Visit (federal and regional) |  | 50,000 | 50,000 | 50,000 | HoPR |  |  | 150,000 |
| 1.4.6 Undertake Public Representation/Support Constituency Outreach Programme  |  | 20,000 |  | 20,000 | HoPR |  |  | 40,000 |
| 1.4.7 Finalize retention payment of INSA for ICT installation and expansion project and additional works |  | 44,000 |  |  |  |  |  | 44,000 |
| ***Subtotal (per quarter)*** | ***65,000*** | ***244,000*** | ***245,000*** | ***345,500*** |  |
| ***Subtotal (per half year)*** | ***309,000*** | ***590,500*** |
| ***Subtotal (EFY 2006)*** |  |  | ***899,500*** |
| **UNDAF Output 10.2: Capacities of key governance institutions developed for enhanced accountability, transparency and responsiveness to citizen’s needs*****Baseline****: 1500 cases****Indicators****:* * *Number of cases (corruption and maladministration) submitted to and addressed by the Democratic Institutions.*
* *Report submitted on the African Union Charter on Democracy and Elections and Governance*
* *National Academy of Audit and Assurance Services established*
* *Baseline established on implementation of information law*
* *Presence of National and Institution specific Justice Results Framework*

***Targets****:* * *Improved efficiency of DIs on case management system (Number of cases addressed increase by 25% from the baseline)*
* *One report on AU Charter on Democracy and Elections*
* *Anticorruption policy adopted*
* *National Academy of Audit and Assurance Services*

***Related CP outcome****: UNDAF Outcome 10: By 2015, national and sub-national actors utilize improved mechanisms that promote inclusiveness, participation, transparency, accountability and responsiveness in national development processes.* | **2.1. Activity Result**: **Capacities to implement strategic anti-corruption activities are strengthened** ***Actions:*** |
| 2.1.1 Develop a comprehensive policy on anti-corruption |  |  | 40,000 | 10,000 | FEACC |  |  | 50,000 |
| 2.1.2 Undertake anti-corruption awareness campaigns | 5 000 | 5,000 | 5,000 | 5,000 | FEACC |  |  | 20,000 |
| 2.1.3 Undertake survey on corruption trends |  | 25 000 |  |  | FEACC |  |  | 25,000 |
| 2.1.4 Establish systems for, and provide capacity building training on, asset verification and monitoring | 15,000 | 15,000 | 15,000 | 15,000 | FEACC |  |  | 60,000 |
| 2.1.5 Provide training to women parliamentarians and women leaders on integrity systems | 15,000 | 15,000 | 15,000 | 15,000 | FEACC |  |  | 60,000 |
| 2.1.6 Convene the 2nd National Anti-corruption Coalition(National Integrity System) assembly |  | 20,000 |  |  | FEACC |  |  | 20,000 |
| 2.1.7 Support the celebration of International Anti-Corruption Day and the reporting on progress on UNCAC  |  | 20,000 |  |  | FEACC |  |  | 20,000 |
| 2.1.8 Provide training, technical advisory services and share best practices on implementation of the recommendations emanating from sectoral (education) diagnostic studies on corruption |  | 20, 000 | 50,000 | 25,000 | FEACC |  |  | 95,000 |
| **2.2 Activity Result**: ***Improve access to information******Actions:*** |
| 2.2.1 Finalise baseline study on the implementation of freedom of information law in Ethiopia |  | 30,000 |  |  | EIO |  |  | 30,000 |
|  2.2.2 Provide training to, federal and regional Executives, Legislature, Judiciary, Media, Government Grievance Hearing Bodies and women associations/ groups, on preventing maladministration |  | 30,000 | 30,000 | 30,000 | EIO |  |  | 90,000 |
| 2.2.3 Undertake good governance campaign and consultative forums with Civic Society on prevention of maladministration |  | 195,000 |  |  | EIO |  |  | 195,000 |
| 2.2.4. Provide training to Executives, Legislatures, Judiciaries, PR Officers, Record Management officers and Other stakeholders on emerging best practices on access to information | 15,000 | 15,000 | 10,000 | 10,000 | EIO |  |  | 50,000 |
| 2.2.5 Monitoring and Evaluation of progress of the FOI Law at Federal and Regional levels |  |  | 20,000 | 20,000 | EIO |  |  | 40,000 |
| 2.2.6 Promotional and Outreach activities through print and audio media such | 15,000 | 25,000 | 20,000 | 15,000 | EIO |  |  | 75,000 |
| 2.2.7 M$E EIO of the EIO Strategy | 5,000 | 5,000 | 5000 | 5000 | EIO |  |  | 20,000 |
| **2.3. Activity Result:** ***National capacities for monitoring the administration of justice*** ***Actions:-***  |
| 2.3.1 Development of a sector wide National Justice Results Indicators  |  |  | 40,000 |  | FEAC |  |  | 40,000 |
| ***Subtotal (per quarter)*** | ***70,000*** | ***420,000*** | ***250,000*** | ***150,000*** |  |
| ***Subtotal (per half year)*** | ***490,000*** | ***400,000*** |  |
| ***Subtotal (EFY 2006)*** |  |  | ***890,000*** |
| **UNDAF Output 9.1, 9.2, 9.3 and 9.4** ***Capacity of national human rights machinery strengthened to effectively promote and protect human rights.******Baseline****1st cycle review done in 2009;some of the 98 accepted recommendations has been implemented; TBD* ***Indicators****:* * *2nd UPR by government and parallel reports by CSO prepared and submitted*
* *Human rights monitoring mechanisms and systems in place and fully functional.*
* *National Legal aid policy adopted and coordination structure established.*
* *No of beneficiaries particularly women and other vulnerable groups who are given legal aid services*

***Targets****:** *Two UPR reports prepared and submitted*
* *Fully functional Human rights mechanisms and systems put in place.*
* *Fully operational legal aid policy framework and coordination mechanisms established.*

***Related CP outcome:****National actors have enhanced capacity to promote, protect and enjoy human rights and constitutional rights and accessibility to efficient and accountable* |  **3.1. Activity Result**: ***National Systems for the promotion and protection of human rights are strengthened*** ***Actions:*** |
| 3.1.1 Support communication, dissemination and knowledge management of the NHRAP | 50,000 | 50,000 | 20,000 |  | EHRC |  |  | 120,000 |
| 3.1.2 Consultative meetings for the Implementation and Monitoring of the NHRAP |  | 15,000 |  |  | EHRC |  |  | 15,000 |
| 3.1.3 Support the coordination and monitoring of the implementation of NHRAP at federal and regional levels | 25,000 | 25,000 | 50,000 | 50,000 | EHRC |  |  | 150,000 |
|  3.1.4 Support the EHRC to facilitate the UPR process | 20,000 | 30,000 | - | - | EHRC |  |  | 50,000 |
| 3.1.5 Convening national stakeholders consultations to raise awareness on the UPR | - | 50,000 | - | - | EHRC  |  |  | 50,000 |
|  3.1.6 Provide training on the National Human Rights Action Plan to relevant implementing bodies |  | 20,000 | 20, 000 | 20 000 | EHRC |  |  | 60,000 |
| ***3.2 Activity Result:* Protection and Monitoring of human rights strengthened*****Actions:-*** |
| 3.2.1 Conduct monitoring on selected thematic areas/sectors (Trafficking, Orphanages, Hospitals, etc.) and reporting | 20,000 | 15,000 | 20 000 | 20 000 | EHRC |  |  | 75,000 |
|  3.2.2 Support production of monitoring report of the Commune/resettlement programs, consultative workshops and publication of the report | 10,000 | 10,000 | 10,000 | 10 000 | EHRC |  |  | 40,000 |
| 3.2.3 Develop Investigation Manual and put into practice | - | 15,000 | 25 000 | - | EHRC |  |  | 40,000 |
| 3.2.4 Investigate and publish report on 10 major cases of human rights abuses including cases of women  |  | 30,000 | 25,000 |  | EHRC |  |  | 55,000 |
|  3.2.5 Study on the harmonization of international human rights treaties ratified by Ethiopia with domestic laws | - | 30,000 |  | - | EHRC |  |  | 30,000 |
| 3.2.6 Consultation and training of parliamentarian on best practice on domestication of international human rights treaties |  |  | 30,000 | 30,000 | EHRC |  |  | 60,000 |
| 3.2.7Conduct a study on harmful traditional practices and conduct awareness raising programs | - | 25,000 | - | - | EHRC |  |  | 25,000 |
|  3.2.8 Development of a Hotline system and complaint handling systems | - | 15,000 | 15,000 | - | EHRC |  |  | 30,000 |
| ***3.3 Activity Result:* Access to justice improved*****Actions:-*** |
| 3.3.1 Develop national legal aid policy and design institutional framework for effective coordination of Legal Aid Service providers (LASPs) | 5,000 | 10,000 | 15,000 | - | EHRC |  |  | 30,000 |
| 3.3.2 Develop guidelines/manuals on the provision and standard of the legal aid services | - | 10,000 | 20,000 | - | EHCR |  |  | 30,000 |
| 3.3 3 Establish or and strengthen legal aid clinics/centers  | 10,000 | 10,000 | 10,000 | 10,000 | EHRC |  |  | 40,000 |
| 3.3.4 Review of clinical legal education and strengthen the relation and integration between law schools (legal education clinics) and legal aid centers | - | 15,000 | - | - | EHRC |  |  | 15,000 |
| 3..3.5 Conduct study on the establishment of institutional and normative linkages between customary and state justice systems | - | 20,000 | - | - | EHRC |  |  | 20,000 |
| 3.3.6 Undertake studies to ascertain corruption risk assessment in the Ethiopian justice sector and consultation with relevant stakeholders on implementation of emerging recommendations | - | 50,000 | - | - | FEACC  |  |  | 50,000 |
| 3.3.7 Adoption of the human rights forums/community policy document through extensive awareness raising programs in all the regions | 20,000 | 30,000 | 30,000 | 20,000 | EHRC |  |  | 100,000 |
| ***Subtotal (per quarter)*** | ***160,000*** | ***475,000*** | ***290,000*** | ***160,000*** |  |
| ***Subtotal (per half year)*** | ***635,000*** | ***450,000*** |  |
| ***Subtotal (EFY 2006)*** |  |  | ***1,085,000*** |
| **Output 4: Project coordination, implementation support and communication****Baseline: 0****Indicators:*** Effective implementation and delivery
* Quality and timely reports submitted
* Number of communication products

**Target:** * 100% delivery of project budget
* Project effectively coordinated and results communicated.
 | **4.1 Activity result:** Effective **c**oordination, technical support and regular follow-up of implementations***Actions*:** |
| 4.1.1 Provide technical advisory services,  | 17,250 | 26,250 | 26,250 | 26,250 | UNDP |  |  | 96,000 |
| 4.1.2 Project coordination and implementation support | 11,250 | 11,250 | 11,250 | 11,250 | MOFED |  |  | 45,000 |
| 4.1.2 Development and implementation of Communication strategy  | - | 20,000 | - | 20,000 | UNDP |  |  | 40,000 |
| 4.1.3 GMS % of total programme budget | 53,200 | 53,200 | 53,200 | 53,200 | UNDP |  |  | 212,800 |
| ***Subtotal (per quarter)*** | ***81,700*** | ***110,700*** | ***90,700*** | ***110,700*** |  |
| ***Subtotal (per half year)*** | ***192,400***  | ***201,400*** |  |
| ***Subtotal (EFY 2006)*** | ***393,4000*** |  | ***393,800*** |
| **Total (per quarter)** | **376,700** | **1,249,700** | **875,700** | **766,200** |  |
| **Total (per half year)** | **1,626,400.00** | **1,641,900** |  |
| **Total (EFY 2006)** | **3,268,300.00** |  | **3,268,300.00** |

# Management Arrangements

This project will be run as a UNDP National Implementation (NIM) project. The Ministry of Finance and Economic Development (MoFED) as the overall coordinator of UN Assisted programmes in Ethiopia assumes the ultimate responsibility, on behalf of the Government of Ethiopia, and is accountable for results and resources under Government management. MoFED will be the implementing partner and the responsible parties – EHRC, EIO, FEAC, HOPR NEBE – will be responsible for implementation of the project, in accordance with the UNDP NIM and Government of Ethiopia PIM guidelines.

*Project Oversight and Assurance:* MoFED andUNDP will provide oversight on quality assurance, ensuring that project resources are utilized effectively and efficiently in the achievement of the project outcomes and outputs. This will be carried out thorough the regular meetings, review of progress reports, spot checks, commissioned audit amongst others.

*Audit:*The project will be audited according to UNDP rules and regulations for NIM/Nationally Implemented projects

*Collaborative arrangements with related projects:*This project is building on the established success and learning from the lessons learned from UNDP ongoing engagement is the area of governance and capacity development in line with the UNDAF 2012-2015.

1. **REPORTING, MONITORING AND EVALUATION**

The responsible parties shall submit quarterly and annual narrative and financial reports to UNDP and MoFED following the established standard formats. UNDP will support MoFED in consolidating the reports. MoFED will facilitate communication to responsible parties. MoFED and UNDP will regularly monitor progress, assess risks and ensure the quality of the intervention, in accordance with the programming policies and procedures outlined in the UNDP User Guide. UNDP will regularly report to third party partners involved.

1. **LEGAL CONTEXT**

This project document shall be the instrument referred to as such in Article 1 of the Standard Basic Assistance Agreement between the Government of Ethiopia and UNDP, signed on 6 February 1981.

Consistent with the Article III of the SBAA, the responsibility for the safety and security of the Implementing Partner and its personnel and property, and of UNDP’s property in the Implementing Partner’s custody, rests with the Implementing Partner. To this end, the Implementing Partner shall:

1. put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the project is being carried;
2. assume all risks and liabilities related to the Implementing Partner’s security, and the full implementation of the security plan.

UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of the Implementing Partner’s obligations under this Project Document.

The Implementing Partner agrees to undertake all reasonable efforts to ensure that no UNDP funds received pursuant to the Project Document are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via <http://www.un.org/sc/committees/1267/aq_sanctions_list.shtml>. This provision must be included in all sub-contracts or sub-agreements entered into under/further to this Project Document.